PTO/SB/21 (09-04)

PTO/SB/21 (09-04),
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PETRANSMITTAL		Application Number		10/821,357		
C DEANSIVILLAL		Filing Date		April 9, 2004		
FORM		First Named Inventor		Gregory D. Miller		
		Art Unit		3729		
VI to be as or all correspondence after initial filing)		Examiner Name		not yet kn	iown	
Total Number of Pages in This Submis	sion 5	Attorney Docket N	lumber	10021.00	0201 (P0177-D)	
ENCLOSURES (check all that apply)						
☐ Fee Transmittal Form	☐ Drawing(s)		After Al	lowance Communication to TC	
Fee Attached	☐ Licensing-	related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply	- Potition		'		Communication to TC Notice, Brief, Reply Brief)	
After Final	Petition to Provisiona	Convert to a Application		Proprietary Information		
☐ Affidavits/declaration(s)	Attomey, Revocation f Correspondence Add	dress	☐ Status L	Letter		
Extension of Time Request	Disclaimer		Other Enclosure(s) (please identify below):			
Express Abandonment Request				PTO Form S	SB-08a; eipt Postcard	
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		dscape Table on CD				
Certified Copy of Priority Document(s)	Remarks					
Reply to Missing Parts/ Incomplete Application	-					
Reply to Missing Parts under 37 CFR1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm	Okamoto & Bene		,			
Signature		. a				
Printed Name James K. Okamo		oto				
Date		Reg. No.	40,110			
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Signature	_k.a					
Typed or printed name James K	Okamoto			Date	April 21, 2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

OIPE COTE AND 2 5 2005 AND 18 TRADEMARKS

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory D. Miller, et al.

Serial No. 10/821,357

Filed: April 9, 2004

Title: Seal for Surface Acoustic Wave

Devices

Examiner: not yet known

Art Unit: 2812

Atty. Dkt. No.: 10021.000201 (P0177-D)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby listed but are not required to be provided in this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

\boxtimes	37 CFR §1.97(b).
	37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
	OR
	Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
	37 CFR § 1.97(d). If so, then both: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement. AND
	Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted, Gregory D. Miller, et al.

Dated: April 21, 2005

By:

James K. Okamoto, Reg No. 40,110

-k da-

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		CERTIFICATE OF MA	ILING	
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Typed or Printed Name:	James K. Ok	amoto	Dated:	April 21, 2005
Express Mail Maili (optional):	ng Number			

PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/821,357

 Filing Date
 April 9, 2004

 First Named Inventor
 Gregory D. Miller

 Art Unit
 3729

 Examiner Name
 not yet known

 Attorney Docket Number
 10021.000201 (P0177-D)

(Use as many sheets as necessary)

Substitute for form 1449A/PTO

Sheet 1 of 1 Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Α	US-6,413,852 B1	07-02-2002	Grill, et al.		
	В	US- 2002/0171121 A1	11-21-2002	Qzgur, Mehmet		
	С	US- 2003/0155643 A1	08-21-2003	Freidhoff, Carl B.		
	D	US- 2003/0159262 A1	08-28-2003	Pastemak, et al.		
	E	US- 2003/0224557 A1	12-04-2003	Koduri, et al.		
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	FOREIGN PATENT DOCUMENTS					
Examiner Cite No.1	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY				
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Examiner Signature	 Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

Trainstation is attached.

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